Care Process Model APRIL 2021



Intermountain Imaging Criteria:

# **Neck Pain**

Through its Intermountain Imaging Criteria Project, Intermountain Healthcare has developed a suite of standardized care process models (CPMs) for the use of advanced imaging procedures in eight priority clinical areas. These evidence-based guidelines are intended to be widely implemented in order to improve patient safety, improve outcomes, and reduce unnecessary medical spending for the Medicare population and the U.S. health system overall.

# ▶ Why Focus ON INTERMOUNTAIN IMAGING CRITERIA?

Advanced imaging procedures, including MRI, CT, PET, and nuclear medicine, facilitate rapid and accurate detection and/or diagnosis of disease. The volume of advanced imaging procedures prescribed to patients in the U.S. increased three- to four-fold from 1996-2010 as the technologies became widely available. The inflating costs of advanced imaging outstripped that of any other medical service. These inflating costs resulted in up to \$20-30 billion in unnecessary advanced imaging spending each year.

- **High cost**. Although the spending growth in advanced imaging dropped off after the early 2000s, 2014 costs to Medicare Part B for advanced imaging exceeded \$2.4 billion for common conditions alone. LEV, CMS1
- **Limited effectiveness.** Multiple studies suggest that up to a third of advanced imaging procedures fail to contribute to diagnosis or are clinically inappropriate. NYDH
- **Patient safety.** Advanced diagnostic imaging often exposes the patient to ionizing radiation and/or contrast media, posing additional medical risks that must be weighed against the potential benefits of the imaging procedure.
- Overdiagnosis and overtreatment. There is an unrecognized risk of overdiagnosis and subsequent overtreatment that carries associated risks (e.g., drug reactions or unnecessary surgical interventions) if advanced imaging is performed in patients with low pretest probability. The Intermountain Imaging Criteria approach seeks to avoid these risks.

### ▶ GOALS AND MEASURES

Indicates an Intermountain measure



This CPM was developed by Intermountain clinical experts to outline appropriate use criteria (AUC) for advanced imaging for neck pain. These quidelines, together with those for other priority clinical areas, will improve the quality of care provided to patients by:

- Increasing adherence to evidence-based AUC for the use of advanced imaging
- Reducing imaging tests that do not conform to AUC or for which there are no guidelines
- Decreasing system-wide spending on unnecessary advanced imaging services
- Reducing the risk of harm from unwarranted radiation exposure
- Documenting the incidence of a significant positive on advanced imaging tests and aligning with downstream care

MILE S INSIDE:
OVERVIEW: INTERMOUNTAIN IMAGING CRITERIA AUC CONTENT $\underline{2}$
NECK PAIN (NP) CARE
PATHWAY ALGORITHMS $\underline{\underline{5}}$
NP without complicating features $\dots \underline{5}$
NP + weakness (gradual onset of upper motor neuron symptoms and/or myelopathy) <u>6</u> NP + trauma (all settings)
Acute trauma
Not acute trauma
NP + prior cervical neck surgery No suspicion of hardware failure
NP + suspected cancer
NP + suspected infection Spinal infection
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► WILLYT'C INICIDES





### OVERVIEW: INTERMOUNTAIN IMAGING CRITERIA AUC CONTENT

Intermountain Imaging Criteria appropriate use criteria (AUC) support clinicians in providing evidence-based care to the patients they serve. Although appropriate use of Intermountain Imaging Criteria fulfills compliance requirements under PAMA, patients only fully benefit from their use as they are deployed within the framework of a locally driven quality improvement program. To learn more about Intermountain's process for developing and maintaining AUC, visit: <a href="https://intermountainhealthcare.org/services/imaging-services/intermountain-imaging-criteria/">https://intermountainhealthcare.org/services/imaging-services/intermountain-imaging-criteria/</a>.

### The care process model approach

Designed as Care Process Models (CPMs), the Intermountain Imaging Criteria AUC content is a blueprint that logically guides the delivery of evidence-based care via an algorithmic visual presentation (see list at right and pages 5 through 15). Although these Intermountain Imaging Criteria CPMs specifically focus on the appropriate use of advanced imaging, they can rightly be viewed as portions of broader CPMs that guide not only diagnostic but therapeutic interventions for a specific disease or condition.

Ideally, Intermountain Imaging Criteria CPMs are engaged early in the patient encounter and guide the various considerations that lead to the ultimate decision regarding ordering of an imaging study. Point-of-order checklists are also included (beginning on <u>page 18</u>). These checklist-based guidelines are logically equivalent to the algorithms from which they are derived.

Knowing that local factors will invariably impact decisions about selecting the most appropriate exam, Intermountain Imaging Criteria CPMs specify the generally preferred exam but also provide alternative choices that may be appropriate in certain clinical settings.

### Relative imaging cost and radiation risk rankings

To further aid providers, each algorithm includes a ranking of relative costs and radiation risk for each advanced imaging test recommended. The cost scale is derived using global non-facility relative value units (RVUs) published by the Centers for Medicare and Medicaid Services CMS as a surrogate for cost. CMS2 The radiation risk is derived from data published in 2010 by the Health Physics Society. ACR, HPS

### Evidentiary review and ranking

Intermountain used the following two conceptual frameworks for evidentiary review of relevant literature:

- **1.** The 2011 revision of the Oxford Centre for Evidence-Based Medicine (OCEBM) 2011 Levels of Evidence standard. This standard includes categorical levelling grades relevant to diagnostic studies and rates individual sources of evidence (published papers or other research data) on a five-point scale. OCE
- **2.** The extensively used Fryback and Thornbury conceptual framework, which uses six levels for assessing the efficacy of diagnostic imaging. FRY

Each algorithmic presentation provides both rankings for the decision node (pairing of AUC and recommended/alternative tests).

### Using the algorithms and checklists

Under "Care Pathway" on <u>page 3</u>, there is an annotated algorithmic sample for a typical clinical scenario found in this CPM. Under "Point-of-Order Checklist" on <u>page 4</u>, there is an annotated sample of a typical point-of-order checklist for an imaging procedure recommended within the above sample algorithm.

### Abbreviations used in this CPM

**ALOC** = altered level of consciousness

**AS** = ankylosing spondylitis

**CPG** = clinical practice guideline

**CPM** = care process model

**CT** = computed tomography

**CTA** = computed tomography angiography

**DISH** = diffuse idiopathic skeletal hyperostosis

**DWI** = diffusion weighted imaging

**IV** = intravenous

**MRA** = magnetic resonance angiogram

**MRI** = magnetic resonance imaging

NP = neck pain

**PCP** = primary care provider

**PET** = positron emission tomography

**PM&R** = pain management and rehabilitation



### Care pathways

For each clinical scenario (e.g., neck pain and suspected cancer), there is an algorithmic presentation of the care pathway context for the imaging decisions made. This pathway contains not only the appropriate use criteria (AUC) and evidence-based advanced imaging recommendations, but also what constitutes significant positive imaging results and downstream care recommendations. Note the elements of this presentation below and key information provided in each test recommendation box as shown at right. There is also a legend at the bottom of each care pathway page.

The Arabic number in the green box indicates an evidence ranking derived from the OCEBM scale. OCE For this scale, the lower the number, the stronger the evidence ranking.

The Roman numeral in the orange box indicates an evidence ranking derived from the Fryback & Thornbury scale. FRY For this scale, the **higher** the number, the stronger the evidence ranking.

**Imaging: primary recommendation** 

**Imaging: alternative recommendation** 

3

\$\$

\$\$\$

R0

R4

MRI cervical spine w/ and

CT cervical spine w/ and

w/o contrast

w/o contrast

Cost rankings are indicated based on a range developed from the CMS Global Relative Value Units (RVUs) as follows: CMS2

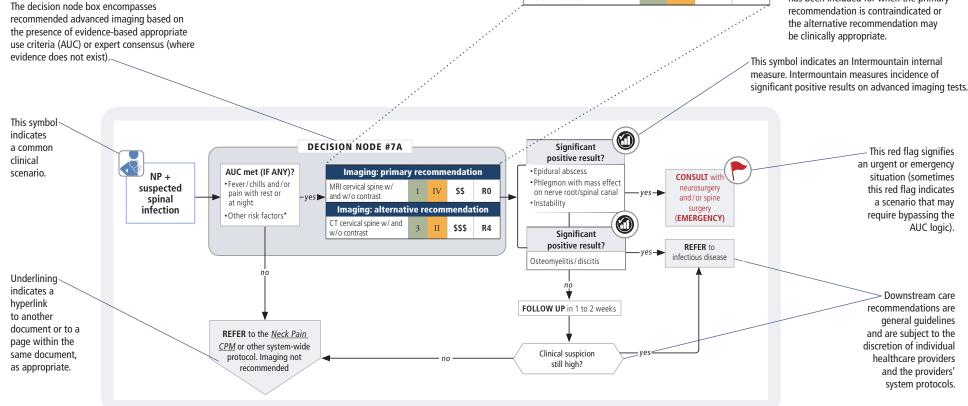
=0-5 RVU\$\$\$ = 10-15 RVU \$\$ = 5 - 10 RVU\$\$\$ = 15+ RVU

> Radiation risk rankings use the scale developed by the American College of Radiology. ACR This rating framework offers the following six levels for adult effective dose range risk:

R0 = 0 mSv $R3 = 1 - 10 \, mSv$ R1 = < 0.1 mSv $R4 = 10 - 30 \,\text{mSy}$ R2 = 0.1 - 1 mSv $R5 = 30 - 100 \,\text{mSv}$ 

An alternate imaging recommendation has been included for when the primary recommendation is contraindicated or

This symbol indicates an Intermountain internal measure. Intermountain measures incidence of





Point-of-order checklists

See abbreviations on page 2

For each advanced imaging test (e.g., MRI and CT), there is a checklist that compiles all of the appropriate use criteria from each clinical scenario (shown in the care pathways) for that test. Tables indicate if the test is a primary recommendation or alternate recommendation. These are presented in a checklist format for the provider to select the appropriate scenario AND the criteria that apply to the patient's situation.

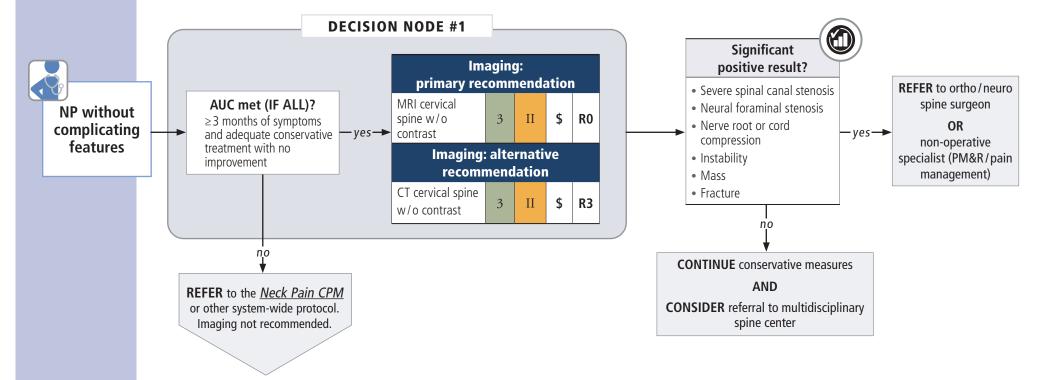
**Tables included on** pages 16 through 19 indicate if the test is a primary recommendation or alternative recommendation.

ndications (PRIMARY recommendation)
Neck pain without improvement + prior cervical spine surgery (NO suspicion of hardware failure) (IF ANY)
☐ Worsening neck pain
□ New or acute radiculopathy
□ Weakness
☐ High suspicion of disc disease adjacent to hardware
Neck pain with suspected cancer (IF ANY)
☐ History of cancer
☐ Multiple cancer risk factors
☐ High suspicion of cancer
Neck pain with suspected spinal infection (IF ANY)
☐ Fever/chills and/or pain with rest or at night
<ul> <li>Other risk factors (ANY): immunocompromised patient, other site of infection, IV drug use</li> </ul>



# ▶ NECK PAIN (NP) CARE PATHWAY ALGORITHMS

See abbreviations on page 2



### **DECISION NODE #1 KEY EVIDENCE**

American College of Radiology. ACR Appropriateness Criteria® Chronic Neck Pain. <a href="https://acsearch.acr.org/docs/69426/Narrative/">https://acsearch.acr.org/docs/69426/Narrative/</a>. Accessed June 30, 2017.

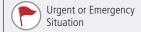
Yousem DM, Atlas SW, Hackney DB. Cervical spine disk herniation: comparison of CT and 3DFT gradient echo MR scans. *J Comput Assist Tomogr.* 1992;16(3):345-351.

Douglas-Akinwande AC, Rydberg J, Shah M V, et al. Accuracy of contrast-enhanced MDCT and MRI for identifying the severity and cause of neural foraminal stenosis in cervical radiculopathy: a prospective study. *Am J Roentgenol.* 2010;194(1):55-61.

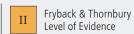
(For a full list of references for all decision nodes, see bibliography on pages 21 through 24)

### LEGEND







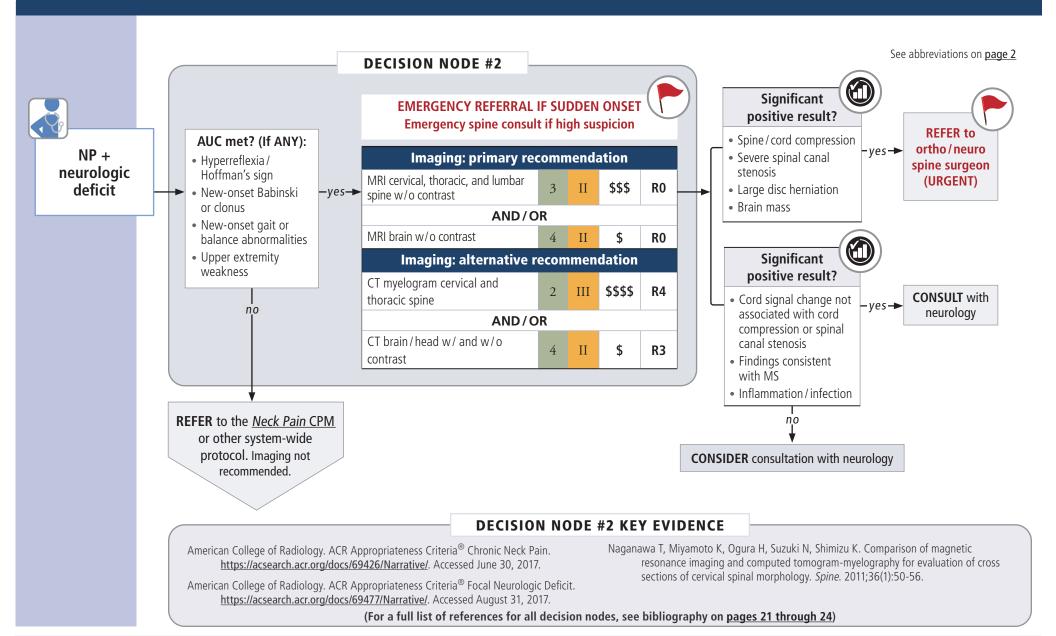






**R 4** (10–30 mSv) See page 2–3 for explanation. **\$ \$ \$** (15+ RVUs)





### LEGEND



Urgent or Emergency Situation

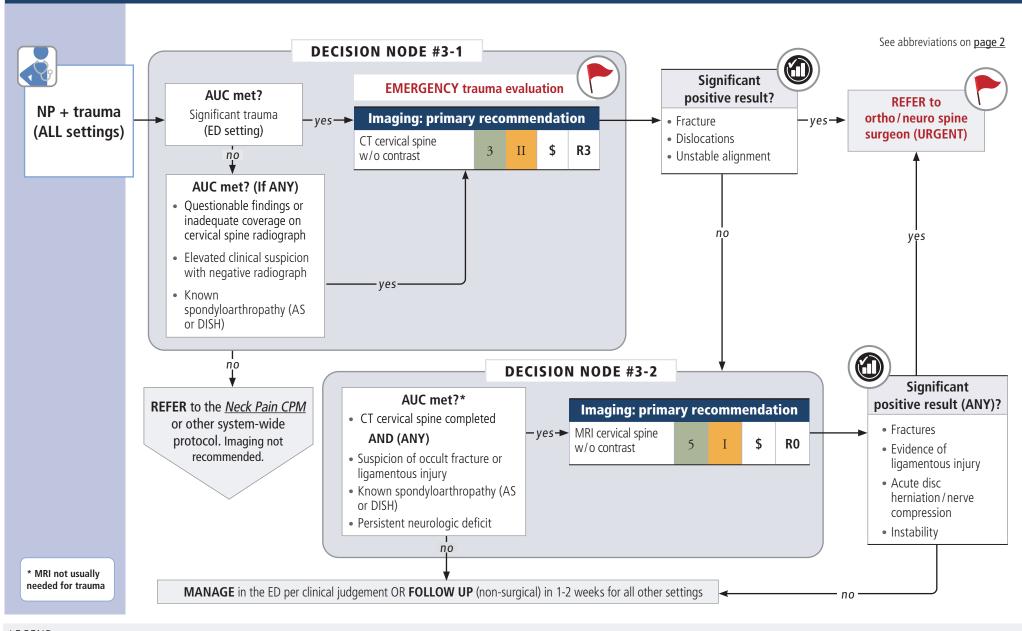


II Fryback & Thornbury Level of Evidence



**RO** (0 mSv) **R3** (1-10 mSv) **\$** (0-5 RVUs) **\$\$** (5-10 RVUs)





LEGEND











**R0** (0 mSv) **R3** (1-10 mSv) **\$** (0-5 RVUs) **\$\$** (5-10 RVUs)

**R 4** (10–30 mSv) See page 2–3 for explanation. **\$ \$ \$** (10–15 RVUs) **\$ \$ \$ \$** (15+ RVUs)



See abbreviations on page 2

### **DECISION NODE #3 KEY EVIDENCE**

American College of Radiology. ACR Appropriateness Criteria® Chronic Neck Pain. https://acsearch.acr.org/docs/69426/Narrative/. Accessed June 30, 2017. Bernard SA, Kransdorf MJ, Beaman FD, et al. ACR Appropriateness Criteria® chronic back pain suspected sacroiliitis-spondyloarthropathy. *J Am Coll Radiol*. 2017;14(5S):S62-S70.

(For a full list of references for all decision nodes, see bibliography on pages 21 through 24)





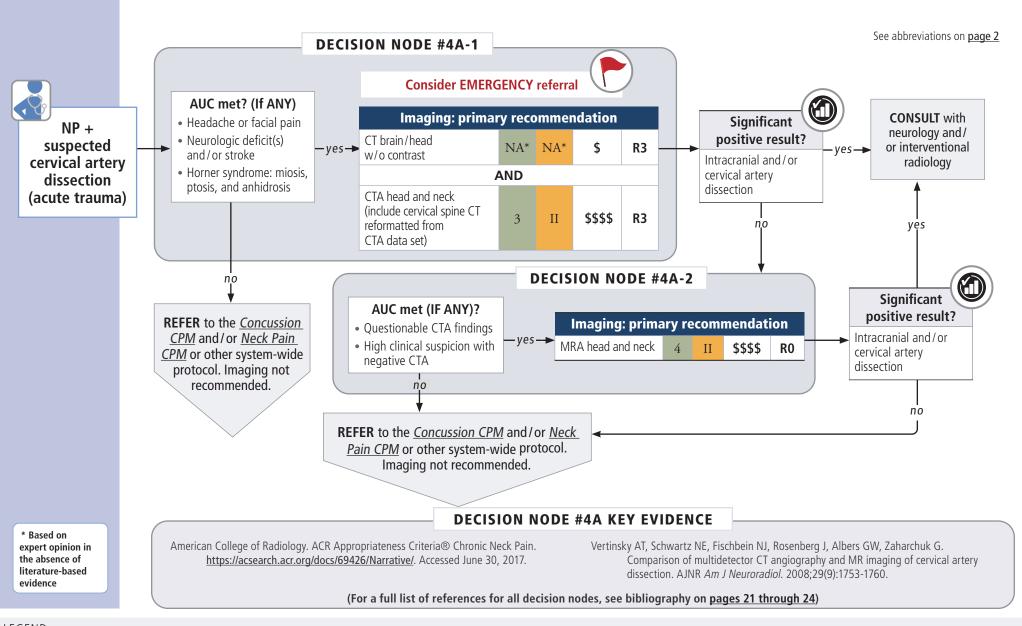






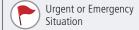






### LEGEND





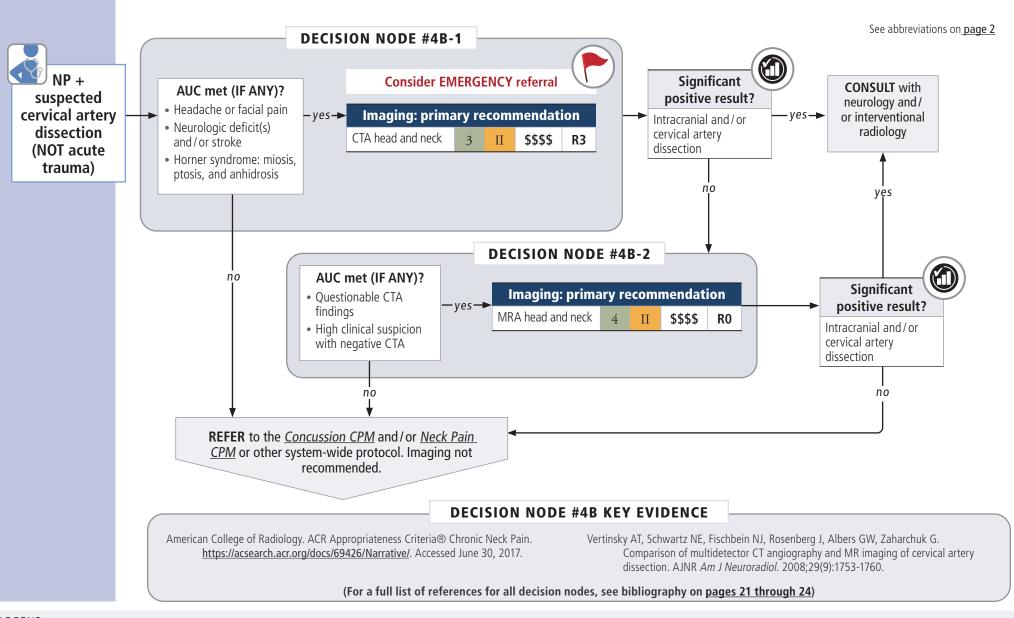






**RO** (0 mSv) **R3** (1-10 mSv) **\$** (0-5 RVUs) **\$\$** (5-10 RVUs)





LEGEND





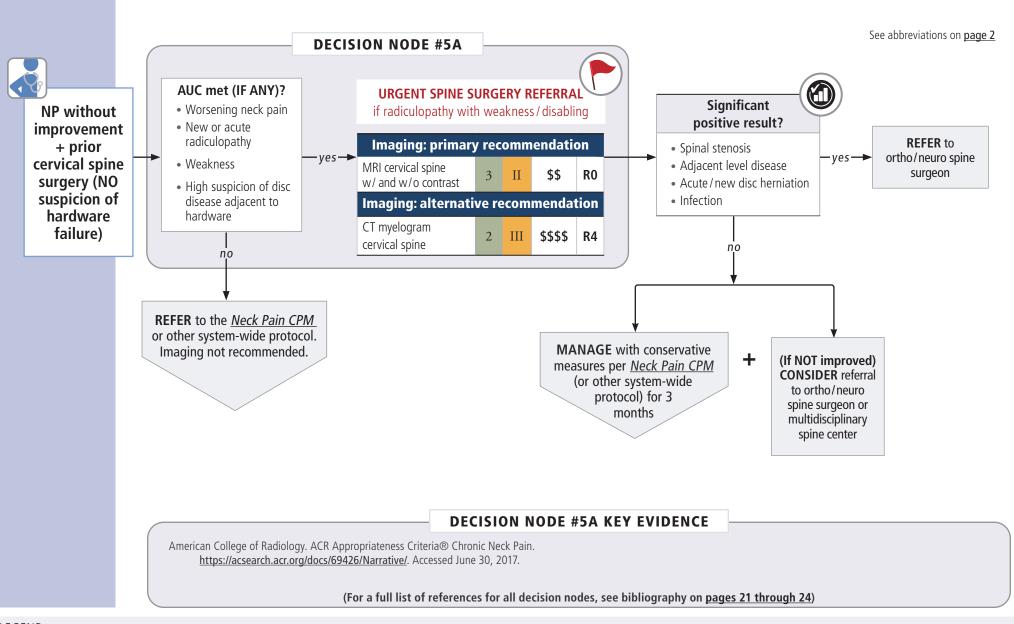






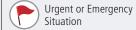
**RO** (0 mSv) **R 3** (1–10 mSv) **\$** (0-5 RVUs) **\$\$** (5-10 RVUs)  $\mathbf{R} \mathbf{4} (10-30 \,\mathrm{mSv})$  See page 2-3 for explanation. **\$\$\$** (10–15 RVUs)



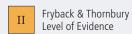


### LEGEND











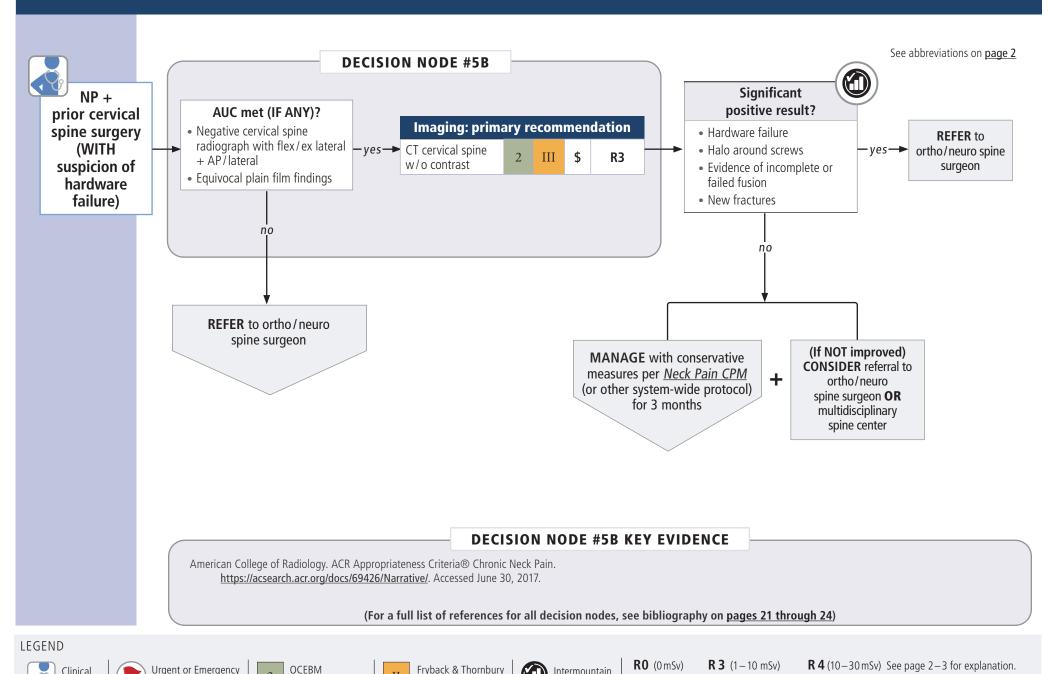
**RO** (0 mSv)

**R3** (1–10 mSv) **\$** (0-5 RVUs) **\$\$** (5-10 RVUs)

 $\mathbf{R} \mathbf{4} (10-30 \,\mathrm{mSv})$  See page 2-3 for explanation. **\$\$\$** (10-15 RVUs)

**\$\$\$** (15+ RVUs)





Intermountain

**\$** (0-5 RVUs) **\$\$** (5-10 RVUs)

**\$\$\$** (10-15 RVUs)

**\$\$\$** (15+ RVUs)

Level of Evidence

Level of Evidence

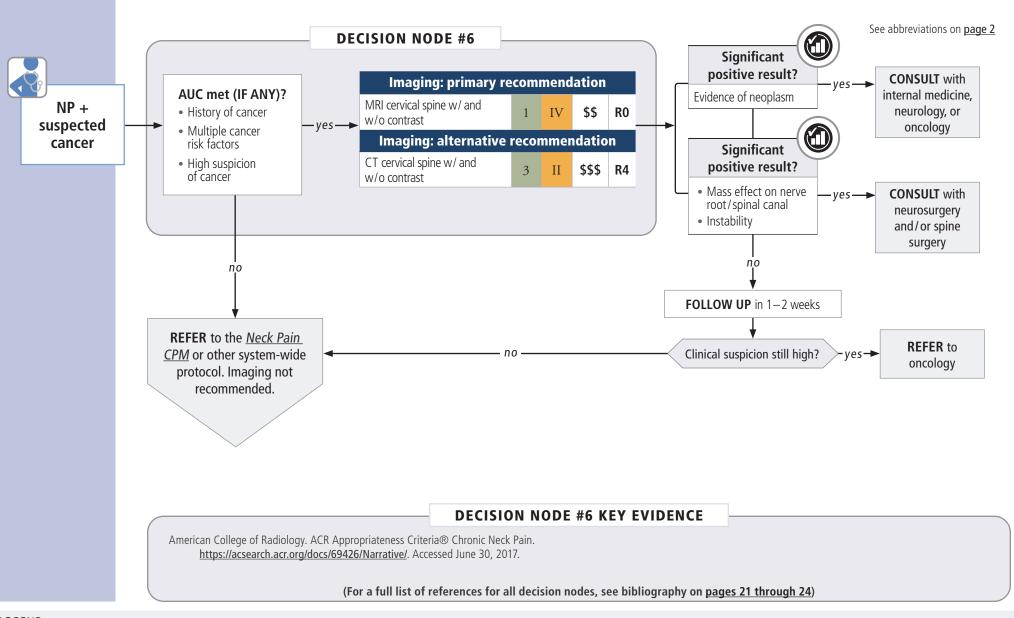
OCEBM

Urgent or Emergency

Clinical

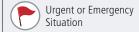
Scenario



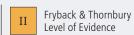


LEGEND









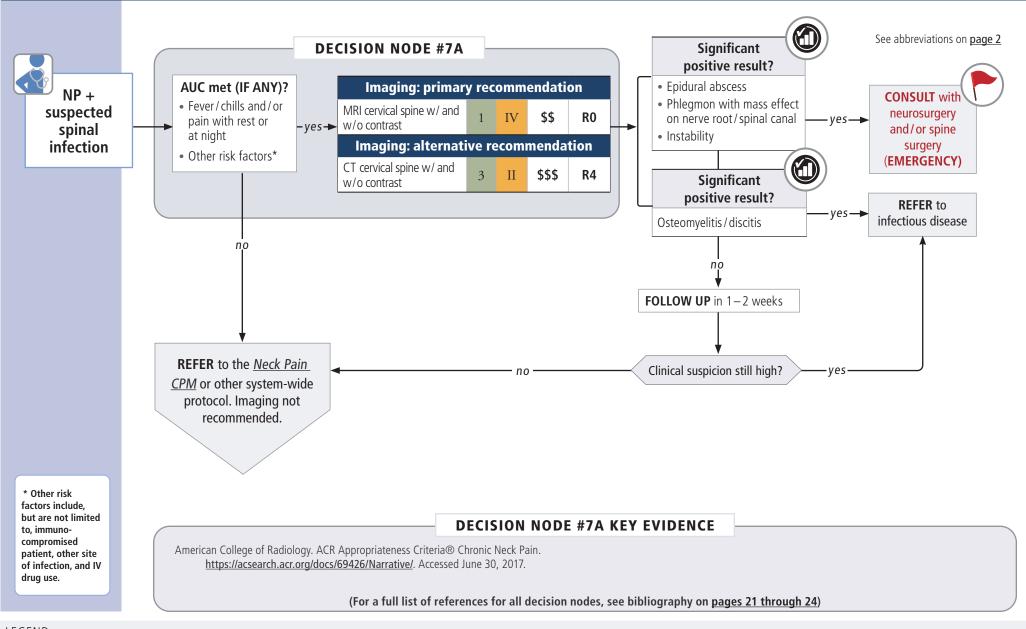


Intermountain

**R3** (1–10 mSv) **RO** (0 mSv) **\$** (0-5 RVUs) **\$\$** (5-10 RVUs)

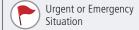
 $\mathbf{R} \mathbf{4} (10-30 \,\mathrm{mSv})$  See page 2-3 for explanation. **\$\$\$** (10-15 RVUs) **\$\$\$\$** (15+ RVUs)



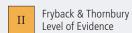


### LEGEND







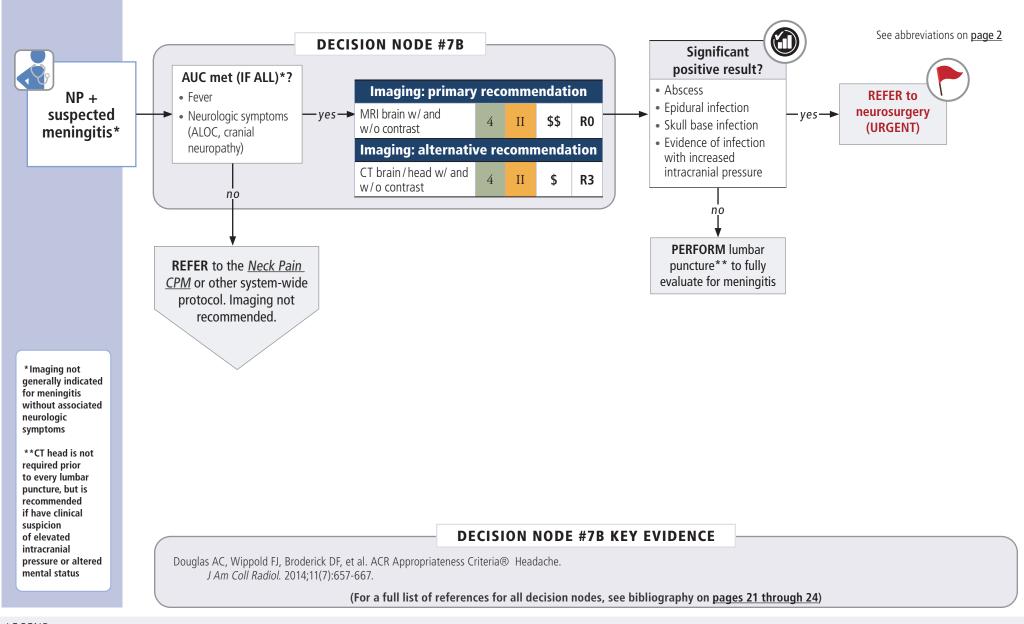




**R0** (0 mSv) **R3** (1-10 mSv) **\$** (0-5 RVUs) **\$\$** (5-10 RVUs)

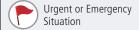
**R 4** (10–30 mSv) See page 2–3 for explanation. **\$ \$ \$** (10–15 RVUs) **\$ \$ \$ \$** (15+ RVUs)



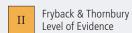


### LEGEND











Intermountain Measure **R0** (0 mSv) **R3** (1-10 mSv) **\$** (0-5 RVUs) **\$\$** (5-10 RVUs)



### ▶ POINT-OF-ORDER CHECKLISTS

See abbreviations on page 2

The provider must check BOTH:

- 1. The box next to the relevant clinical scenario
- 2. **EACH** AUC box that applies to the patient's situation

# TABLE 1. MRI cervical spine WITHOUT CONTRAST appropriate use indications

### (PRIMARY recommendation)

□ Neck pain without □ Neck pain with trauma (ALL settings) complicating features ☐ Significant trauma (ED setting)  $\square \ge 3$  months of symptoms and AND adequate conservative treatment ☐ CT cervical spine w/out contrast completed with no improvement WITH ANY OF FOLLOWING ☐ Suspicion of occult fracture or ligamentous injury ☐ Known spondyloarthropathy (AS or DISH) ☐ Persistent neurologic deficit OR IF ANY ☐ Questionable findings or inadequate coverage on cervical spine radiograph ☐ Elevated clinical suspicion with negative radiograph ☐ Known spondyloarthropathy (AS or DISH) AND ☐ CT cervical spine w/out contrast completed WITH ANY OF FOLLOWING ☐ Suspicion of occult fracture or ligamentous injury ☐ Known spondyloarthropathy (AS or DISH)

# TABLE 2. MRI cervical spine WITH AND WITHOUT contrast appropriate use indications

### (PRIMARY recommendation)

- □ Neck pain without improvement + prior cervical spine surgery (NO suspicion of hardware failure) (IF ANY)
  - ☐ Worsening neck pain
  - ☐ New or acute radiculopathy
  - □ Weakness
  - ☐ High suspicion of disc disease adjacent to hardware
- □ Neck pain with suspected cancer (IF ANY)
  - ☐ History of cancer
  - ☐ Multiple cancer risk factors
  - ☐ High suspicion of cancer
- □ Neck pain with suspected spinal infection (IF ANY)
  - ☐ Fever/chills and/or pain with rest or at night
  - ☐ Other risk factors (ANY): Immunocompromised patient, other site of infection, IV drug use

☐ Persistent neurologic deficit



# ▶ POINT-OF-ORDER CHECKLISTS, CONTINUED

See abbreviations on <u>page 2</u>

TABLE 3. MRI brain WITH AND WITHOUT contrast appropriate use indications
(PRIMARY recommendation)
□ Neck pain with suspected meningitis (IF ALL)

☐ Fever
☐ Neurologic symptoms (ALOC, cranial neuropathy)

# TABLE 4. MRI cervical, thoracic, and lumbar spine WITHOUT contrast appropriate use indications

(PRIMARY recommendation)

□ Neck pain with neurologic deficit (IF ANY)
 □ Hyperreflexia / Hoffman's sign
 □ New-onset Babinski or clonus
 □ New-onset gait / balance abnormalities

☐ Upper extremity weakness

# TABLE 5. MRI brain WITHOUT contrast appropriate use indications

(PRIMARY recommendation)

 $\hfill\Box$  Neck pain with neurologic deficit (IF ANY)

☐ Hyperreflexia / Hoffman's sign

☐ New-onset Babinski or clonus

☐ New-onset gait/balance abnormalities

☐ Upper extremity weakness

use indications					
(PRIMARY recommendation)					
<ul> <li>□ Neck pain with suspected cervical artery dissection (acute trauma)</li> </ul>					
IF ANY					
<ul><li>☐ Headache or facial pain</li><li>☐ Neurologic deficit(s) and / or stroke</li><li>☐ Horner syndrome: miosis, ptosis, anhidrosis</li></ul>					
AND					
☐ Both CT brain/head w/out contrast AND CTA head/neck completed					
WITH ANY OF FOLLOWING  ☐ Questionable CTA findings ☐ High clinical suspicion with negative CTA					
$\hfill\Box$ Neck pain with suspected cervical artery dissection (not acute trauma)					
IF ANY					
☐ Headache or facial pain					
☐ Neurologic deficit(s) and / or stroke					
☐ Horner syndrome: miosis, ptosis, anhidrosis					
AND					
☐ CTA head/neck completed					
WITH ANY OF FOLLOWING					
☐ Questionable CTA findings					
☐ High clinical suspicion with negative CTA					



## ▶ POINT-OF-ORDER CHECKLISTS, CONTINUED

See abbreviations on page 2

TABLE	7. CTA	head	and	neck
appro	priate	use ir	ndica	itions

(PRIMARY recommendation)

- □ Neck pain with suspected cervical artery dissection (acute trauma) (IF ANY)
  - ☐ Headache or facial pain
  - □ Neurologic deficit(s) and/or stroke
  - ☐ Horner syndrome: miosis, ptosis, anhidrosis
- □ Neck pain with suspected cervical artery dissection (not acute trauma) (IF ANY)
  - ☐ Headache or facial pain
  - □ Neurologic deficit(s) and/or stroke
  - ☐ Horner syndrome: miosis, ptosis, anhidrosis

# TABLE 8. CT brain/head WITHOUT contrast appropriate use indications

(PRIMARY recommendation)

- □ Neck pain with suspected cervical artery dissection (acute trauma) (IF ANY)
  - ☐ Headache or facial pain
  - ☐ Neurologic deficit(s) and / or stroke
  - ☐ Horner syndrome: miosis, ptosis, anhidrosis

# TABLE 9. CT brain/head WITH AND WITHOUT contrast appropriate use indications

(ALTERNATIVE recommendation)

- □ Neck pain with neurologic deficit (IF ANY)
  - ☐ Hyperreflexia / Hoffman's sign
  - ☐ New-onset Babinski or clonus
  - ☐ New-onset gait/balance abnormalities
  - ☐ Upper extremity weakness
- □ Neck pain with suspected meningitis (IF All)
  - □ Fever
  - ☐ Neurologic symptoms (ALOC, cranial neuropathy)



## ▶ POINT-OF-ORDER CHECKLISTS, CONTINUED

See abbreviations on page 2

# TABLE 10. CT cervical spine WITHOUT contrast appropriate use indications

(PRIMARY recommendation)

- ☐ Neck pain with trauma (ALL settings)
  - ☐ Significant trauma (ED setting)

### OR (IF ANY)

- ☐ Questionable findings or inadequate coverage on cervical spine radiograph
- ☐ Elevated clinical suspicion with negative radiograph
- ☐ Known spondyloarthropathy (AS or DISH)
- □ Neck pain with prior cervical spine surgery (with suspicion of hardware failure) (IF ANY)
  - ☐ Negative cervical spine radiograph with flex/ex lateral + AP/lateral
  - ☐ Equivocal plain film findings

### (ALTERNATIVE recommendation)

- ☐ Neck pain without complicating features
  - $\square \ge 3$  months of symptoms and adequate conservative treatment with no improvement

# TABLE 11. CT cervical spine WITH AND WITHOUT contrast appropriate use indications

(ALTERNATIVE recommendation)

- □ Neck pain with suspected cancer (IF ANY)
  - ☐ History of cancer
  - ☐ Multiple cancer risk factors
  - ☐ High suspicion of cancer
- □ Neck pain with suspected spinal infection (IF ANY)
  - ☐ Fever/chills and/or pain with rest or at night
  - ☐ Other risk factors (ANY): immunocompromised patient, other site of infection, IV drug use

# TABLE 12. CT myelogram cervical spine appropriate use indications

(ALTERNATIVE recommendation)

- □ Neck pain without improvement + prior cervical spine surgery (NO suspicion of hardware failure) (IF ANY)
  - ☐ Worsening neck pain
  - ☐ New or acute radiculopathy
  - □ Weakness
  - ☐ High suspicion of disc disease adjacent to hardware

# TABLE 13. CT myelogram cervical and thoracic spine appropriate use indications

(ALTERNATIVE recommendation)

- ☐ Neck pain with neurologic deficit (IF ANY)
  - ☐ Hyperreflexia / Hoffman's sign
  - ☐ New-onset Babinski or clonus
  - ☐ New-onset gait/balance abnormalities
  - ☐ Upper extremity weakness



### **▶** RESOURCES

Intermountain provides educational materials designed to support providers in their efforts to care for, educate, and engage patients and their families.

**Intermountain's patient education materials** complement and reinforce clinical team interventions by providing a means for patients to reflect and learn in another mode and at their own pace.

Intermountain's Care Process Models (CPMs) outline evidence-based guidelines for patient care. In addition to the suite of Intermountain Imaging Criteria CPMs, Intermountain provides topical CPMs that have been developed by expert clinical teams. They can be accessed by navigating to intermountainphysician.org and selecting Care Process Models in the Tools and Resources drop down menu.

To access Intermountain Imaging Criteria CPMs and supporting materials, visit: <a href="https://intermountainhealthcare.org/services/imaging-services/intermountain-imaging-criteria/">https://intermountainhealthcare.org/services/imaging-services/intermountain-imaging-criteria/</a>.





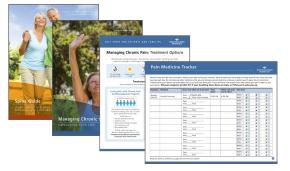
### Fact sheets:

- <u>Cervical Spine Injury</u> <u>and Neck Collar</u> (<u>English</u>) / (<u>Spanish</u>)
- <u>Spinal Nerve</u> <u>Decompression</u> (<u>English</u>)/(<u>Spanish</u>)
- <u>Discography</u> (<u>English</u>) / (<u>Spanish</u>)



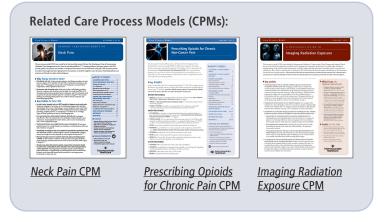
### Fact sheets:

- <u>CT Scan</u> (English) / (Spanish)
- <u>Radiation Exposure</u> <u>in Medical Tests</u> (English) / (Spanish)
- <u>Intravenous (IV)</u>
   <u>Contrast Material</u>
   (<u>English</u>) / (<u>Spanish</u>)
- <u>Spine Injury and</u>
   <u>Orthotic Braces</u>
   (English) / (Spanish)



### Patient education:

- Spine Guide (English)
- Managing Chronic Pain (English)
- <u>Managing Chronic Pain: Treatment</u> <u>Options</u> (English) / (Spanish)
- <u>Pain Medicine Tracker</u> (<u>English</u>) / (<u>Spanish</u>)





### ▶ BIBLIOGRAPHY

### NODE #1

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### **Development Group**

- Jordan Albritton, PhD, MPH
- Benjamin Fox, MD
- James Hellewell, MD
- Robert Hoesch, MD, PhD
- Jeremy Hopkin, MD
- Andrew Knighton, PhD, CPA
- Alyssa Lettich, MD
- Elisabeth Malmberg, MS
- Julie Martinez, MSN, RN, CCRP
- Heidi Porter, PhD (Medical Writer)
- Krista Schonrock, MD
- Laura Sittig, PhD (Medical Writer)
- Stephen Warner, MD
- Keith White, MD

This CPM presents a model of best care based on the best available scientific evidence at the time of publication. It is not a prescription for every physician or every patient, nor does it replace clinical judgment. All statements, protocols, and recommendations herein are viewed as transitory and iterative. Although physicians are encouraged to follow the CPM to help focus on and measure quality, deviations are a means for discovering improvements in patient care and expanding the knowledge base.

